



CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope, with sufficient postage, addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

6-24-04

Date of Deposit

Marc V. Richards, Reg. No. 37,921

Name of Applicant, Assignee or
Registered Representative

Marc V. Richards

Signature

6-24-04

Date of Signature

Our Case No.: 10022/350

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Elizabeth DeSchryver et al.

Serial No.: 10/634,250

Filing Date: August 5, 2003

For: METHODOLOGY FRAMEWORK
AND DELIVERY VEHICLE

Examiner: N/A

Group Art Unit No.: 2127

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Alexandria, VA 22313-1450

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed below and on the attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these materials, the Commissioner is hereby authorized to deduct said fees from Brinks Hofer Gilson & Lione Deposit Account No. 23-1925. A duplicate copy of this document is enclosed.

Applicant(s) respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Marc V. Richards", is written over a horizontal line.

Marc V. Richards
Registration No. 37,921
Attorney for Applicant(s)

BRINKS HOFER GILSON & LIONE
P.O. Box 10395
Chicago, IL 60610
(312) 321-4200



2FW

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:
Date: June 24, 2004 Name: Marc V. Richards, Reg. No. 37,921 Signature: *Marc V. Richards*

BRINKS
HOFFER
GILSON
& LIONE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Elizabeth DeSchryver et al.

Appln. No.: 10/634,250

Examiner: N/A

Filed: August 5, 2003

Art Unit: 2127

For: METHODOLOGY FRAMEWORK AND
DELIVERY VEHICLE

Attorney Docket No: 10022/350

Mail Stop Amendment
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL

Sir:

Attached is/are:

- ☒ Information Disclosure Statement (in dup.); Form PTO-1449; 11 cited references
- ☒ Return Receipt Postcard

Fee calculation:

- ☒ No additional fee is required.
- ☐ Small Entity.
- ☐ An extension fee in an amount of \$_____ for a _____-month extension of time under 37 C.F.R. § 1.136(a).
- ☐ A petition or processing fee in an amount of \$_____ under 37 C.F.R. § 1.17(_____).
- ☐ An additional filing fee has been calculated as shown below:

| | | | | | Small Entity | | | Not a Small Entity | |
|---|----------------------------------|-------|---------------------------------|---------------|--------------|-----------|----|--------------------|-----------|
| | Claims Remaining After Amendment | | Highest No. Previously Paid For | Present Extra | Rate | Add'l Fee | or | Rate | Add'l Fee |
| Total | | Minus | | | x \$9= | | | x \$18= | |
| Indep. | | Minus | | | x 43= | | | x \$86= | |
| First Presentation of Multiple Dep. Claim | | | | | +\$145= | | | +\$290= | |
| | | | | | Total | \$ | | Total | \$ |

Fee payment:

- ☐ A check in the amount of \$_____ is enclosed.
- ☐ Please charge Deposit Account No. 23-1925 in the amount of \$_____. A copy of this Transmittal is enclosed for this purpose.
- ☐ Payment by credit card in the amount of \$_____ (Form PTO-2038 is attached).
- ☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

6-24-04

Date

Respectfully submitted,

Marc V. Richards
Marc V. Richards (Reg. No. 37,921)



| | | |
|--|-------------------------------|------------------------|
| FORM PTO-1449 | SERIAL NO. 10/634,250 | CASE NO. 10022/350 |
| LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (use several sheets if necessary) | FILING DATE August 5, 2003 | GROUP ART UNIT 2127 |
| APPLICANT(S): Elizabeth DeSchryver et al. | | |

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER <small>Number-Kind Code (if known)</small> | DATE | NAME | CLASS/ SUBCLASS | FILING DATE |
|---------------------|----|--|------------|-----------------|--------------------|----------------|
| | A1 | 5,977,967 | 11/02/1999 | Berner et al. | | |
| | A2 | US 2002/0026297 A1 | 02/28/2002 | Leymann et al. | | |
| | A3 | US 2002/0059129 A1 | 05/16/2002 | Kemp, II et al. | | |

| EXAMINER INITIAL | OTHER ART – NON PATENT LITERATURE DOCUMENTS <small>(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.)</small> | |
|---------------------|---|---|
| | A4 | Doerr, M. et al., Journal of Digital Information, "Towards a Core Ontology for Information Integration," April 2003. |
| | A5 | Krutchten, P., The Rational Edge, "What is the Rational Unified Process?", January 2001. |
| | A6 | Method/1: Guide for System Users, Version 9.5, Andersen Consulting, 1995. |
| | A7 | White Paper, Documentum Worldwide Consulting White Paper: Content Management Services Methodology, October 2002. |
| | A8 | White Paper, Microsoft Frameworks: IT Occupation Taxonomy v.3.0, February 2003. |
| | A9 | White Paper, Microsoft Solutions Framework: MSF Team Model v. 3.1, June 2002. |
| | A10 | White Paper, Microsoft Solutions Framework: MSF Process Model v. 3.1, June 2002. |
| | A11 | White Paper, Rational Unified Process: Best Practices for Software Development Teams, Rational Software Corp., November 2001. |

| | |
|----------|-----------------|
| EXAMINER | DATE CONSIDERED |
|----------|-----------------|

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

The references now cited are the following:

U.S. Patent Documents

| NUMBER | DATE | NAME |
|--------------------|------------|-----------------|
| 5,977,967 | 11/02/1999 | Berner et al. |
| US 2002/0026297 A1 | 02/28/2002 | Leymann et al. |
| US 2002/0059129 A1 | 05/16/2002 | Kemp, II et al. |

Other Art

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|---|
| Doerr, M. et al., Journal of Digital Information, "Towards a Core Ontology for Information Integration," April 2003. |
| Krutchten, P., The Rational Edge, "What is the Rational Unified Process?", January 2001. |
| Method/1: Guide for System Users, Version 9.5, Andersen Consulting, 1995. |
| White Paper, Documentum Worldwide Consulting White Paper: Content Management Services Methodology, October 2002. |
| White Paper, Microsoft Frameworks: IT Occupation Taxonomy v.3.0, February 2003. |
| White Paper, Microsoft Solutions Framework: MSF Team Model v. 3.1, June 2002. |
| White Paper, Microsoft Solutions Framework: MSF Process Model v. 3.1, June 2002. |
| White Paper, Rational Unified Process: Best Practices for Software Development Teams, Rational Software Corp., November 2001. |

In accordance with 37 C.F.R. § 1.97(g),(h), this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

This Information Disclosure Statement is being filed prior to the receipt of the first Official Action reflecting an examination on the merits and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with filing of this Information Disclosure Statement, however, should any